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U.S. Department of Commerce
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STATEMENT BY APPLICANT**

Sheet 1 of 1

DEC 06 2005

Application No. : 09/989,866

Filing Date :

First Named Inventor: Y. KUROSE

Group Art Unit :

Examiner Name :

Attorney Docket No. : FUJO 19.189

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document	Kind Code if known ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear

FOREIGN DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
JJ		0 790 751	EP	LUCENT TECHNOLOGIES INC.	08-20-1997	Corresponds to JP Application 9-247190
		9-247190	JP		09-19-1997	Corresponds to EP Application 0 790 751

Other Prior Art-Non Patent Literature Documents

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check her if English language translation attached
JJ		International Search Report dated October 5, 1999	
JJ		NIKKEI COMMUNICATIONS. Ukiah Software (USA) Plans to Deliver Policy Server with Charging Function in July, February 1, 1999.	X
JJ		RAJ YAVATKAR, et al. "A Framework for Policy-based Admission Control" Internet Engineering Task Force, draft-ietf-rap-framework-03.txt, April 1999.	X
JJ		YOSHITOSHI KUROSE, et al. "A Study on Policy-Based CoS Control" Proceedings of the 1998 Communications Society Conference of IEICE, Communications Society, The Institute of Electronics Information and Communication Engineers, September 29, 1998	X
JJ		YUJI NOMURA, et al. "A Study on Policy-Based Dynamic Configuration " Technical Report of IEICE, IN98-140, 155, Vol. 98, No.542.	X
JJ		JIM BOYLE, et al. "The COPS (Common Open Policy Service) Protocol", Internet-Draft, draft-ietf-rap-cops-06.txt, February 24, 1999	X
Examiner Signature	Joshua Joo		Date Considered 3/22/06

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1⁶ if possible. ⁶Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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JJ		Notice of Rejection Grounds mailed September 6, 2005, with translation.	X
JJ		ATSUJI SEKIGUCHI et al. "A Mechanism of Policy Creation on Policy-based Networking" Technical Report of IEICE; October 1999.	X
JJ		RAJ YAVATKAR, et al. "A Framework for Policy-based Admission Control" Internet Engineering Task Force, April 1999.	X
Examiner Signature	Joshua J. J. [Signature]		Date Considered 3/22/06

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